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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-------------------------|------------------------|
| 09/852,058 | 05/09/2001 | Philip Morrison Giffard | DIAT:002 |

Adjustment date: 04/01/2002/ EEKUBAY1
08/16/2001 SDIRETA1 00000004 09852058
01 FC:101 -710.00 OP
03 FC:102 -880.00 OP
04 FC:104 -170.00 OP
05 FC:105
O'KEEFE, EGAN & PETERMAN, L.L.P.
1101 Capital of Texas Highway South
Building C, Suite 200
Austin, TX 78746



CONFIRMATION NO. 3768

FORMALITIES LETTER



OC00000006159452

Repln. Ref: 04/01/2002 EEKUBAY1 0011111400
DAH:101205 Name/Number:09852058
FC: 704 \$995.00 CR

Date Mailed: 06/07/2001

04/01/2002 EEKUBAY1 00000006 09852058

01 FC:201 355.00 OP
02 FC:202 440.00 OP
03 FC:204 135.00 OP
04 FC:205

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/16/2001 SDIRETA1 00000004 09852058

01 FC:101 710.00 OP
02 FC:102 288.00 OP
03 FC:103

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Repln. Ref: 12/10/2001 YGIZAW 0014001200
DAH:101205 Name/Number:09852058
FC: 704 \$54.00 CR

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

12/10/2001 YGIZAW 00000007 09852058

- The statutory basic filing fee is missing. **01 FC:103 234.00 OP**
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1384. **08/16/2001 SDIRETA1 00000004 09852058**
 - \$234 for 13 total claims over 20.
 - \$880 for 11 independent claims over 3.
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing. **01 FC:101 710.00 OP**
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2224. **02 FC:103 288.00 OP**
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the

Repln. Ref: 04/12/2002 YGIZAW 000570900
DAH:101205 Name/Number:09852058
FC: 704 \$117.00 CR

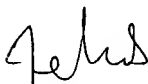
Adjustment date: 04/12/2002 YGIZAW 00000007 09852058
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"Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov**

*A copy of this notice **MUST** be returned with the reply.*



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